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ANNUAL AUDITED REPORT FORM X-17A-5 PART III

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> SEC FLE NUMBER 41166

Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

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(No. and Street)		
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Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

SEC 1410 (06-02)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Maria Maria

OATH OR AFFIRMATION

ĭ	J. Brett Stuart	, swear (or affirm) that, to the best of
my k	nowledge and belief the accompanying Richfield Orion International, to	financial statement and supporting schedules pertaining to the firm of
of	December 31	2015 are true and correct. I further swear (or affirm) that
	er the company nor any partner, propr ified solely as that of a customer, excep	ictor, principal officer or director has any proprietary interest in any account at as follows:
	N/A	
حنينين		
	CHERYL A FOSDICK NOTARY PUBLIC STATE OF COLORADO NOTARY ID 19894011638 (COMMISSION EXPIRES OCTOBER 31, 2017	President Prato
<u></u>	Len Control Toachak	Title
四 四 (report ** contains (check all applicable (a) Facing Page. (b) Statement of Financial Condition. (c) Statement of Income (Loss).	é boxes);
	(d) Statement of Changes in Financial	Condition
		ers' Equity or Partners' or Sole Proprietors' Capital.
	(f) Statement of Changes in Liabilities	Subordinated to Claims of Creditors.
	(g) Computation of Net Capital.	Reserve Requirements Pursuant to Rule 15e3-3.
		sion or Control Requirements Under Rule 15e3-3.
	(j) A Reconcilisation, including appropr	riate explanation of the Computation of Net Capital Under Rule 15c3-1 and the the Reserve Requirements Under Exhibit A of Rule 15c3-3.
	 (k) A Reconciliation between the audit consolidation. 	ed and unsudited Statements of Financial Condition with respect to methods of
	(I) An Orth or Affirmation.	
	(m) A copy of the SIPC Supplemental I	
ا سد	(v) wiebou oezenomê anî maizdat ma	dequasies found to exist or found to have existed since the date of the previous audit

^{**} For conditions of confidential treatment of certain portions of this filing, see section 240.170-5(e)(3).

Richfield Orion International, Inc.

Financial Statements and Supplemental Schedules Required by the U.S. Securities and Exchange Commission

Including Independent Auditor's Report Thereon

For the Year-Ended December 31, 2015

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Edward Richardson Jr., CPA 15565 Northland Dr W Ste 508 Southfield, MI 48075 248-559-4514

Independent Auditor's Report

Board of Directors Richfield Orion International, Inc. 5353 North Union Street Colorado Springs, CO 80918

Report on the Financial Statements

I have audited the accompanying statement of financial condition of Richfield Orion International, Inc. as of December 31, 2015 and the related statements of income, changes in stockholder's equity, changes in liabilities to claims of general creditors, and cash flows for the year ended. These financial statements are the responsibility of Richfield Orion International, Inc. management. My responsibility is to express an opinion on these financial statements based on my audit.

Auditor's Responsibility

I conducted this audit in accordance with the standards of the Public Company Accounting Oversight Board (United States). Those standards require that I plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. I believe that my audit provides a reasonable basis for my opinion.

Opinion

In my opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Richfield Orion International, Inc. as of December 31, 2015, and results of its operations and its cash flows to the year then ended in conformity with accounting principles generally accepted in the United States.

Other Matters

The Supplemental Information has been subjected to audit procedures performed with audit of Richfield Orion International, Inc. financial statements. Supplemental Information is the responsibility of Richfield Orion International, Inc.'s management. My audit procedures included determining whether the Supplemental Information reconciles to the financial statements or the underlying accounting and other records as applicable, and performing procedures to test the completeness and accuracy of the information presented in the Supplemental Information. In

forming my opinion on the Supplemental Information, I evaluated whether the Net Capital Computation, including its form and content is presented in conformity 17 C.F.R. # 240 17a-5. In my opinion, the Net Capital Computation is fairly stated, in all material respects, in relation to the financial statements as a whole.

Edward Richardson Jr., CPA

Edward Bulandary CPA

Southfield, ML 48075

February 22, 2016

Richfield Orion International, Inc. <u>Financial Statements</u>

Statement of Financial Condition
As of and for the Year-Ended December 31, 2015

ASSETS

CURRENT ASSETS	
Cash in Bank	\$ 37,426.79
Commissions Receivable	46,188.47
Accounts Receivable	1,731.33
Prepaid Expenses	4,135.75
Total Current Assets	89,482.34
PROPERTY AND EQUIPMENT	
TOTAL ASSETS	\$ 89,482.34
LIABILITIES AND STOCKHOLDER'S EQUITY	
CURRENT LIABILITIES	
Accounts Payable	\$ 3,809.48
Commissions Payable	42,196.52
Total Current Liabilities	46,006.00
LONG-TERM LIABILITIES	
TOTAL LIABILITIES	\$ 46,006.00
STOCKHOLDER'S EQUITY	
Capital Stock, no par value,	52,589.00
100,000 share authorized, 1,000 shares	. .
issued and outstanding	
Retained Earnings	(9,112.66)
Total Stockholders' Equity	43,476.34
TOTAL LIABILITIES AND	<u></u>

The accompanying notes are an integral part of these financial statements.

STOCKHOLDERS' EQUITY

\$ 89,482.34

Richfield Orion International, Inc. Financial Statements

Statement of Operations As of and for the Year-Ended December 31, 2015

\$ 522,689.95
\$ 50,296.25
96,781.90
669,768.10
472,757.27
28,398.12
1,929.21
20,698.58
45,523.69
569,306.87
100,461.23
0.93
0.93
\$ 100,462.16

The accompanying notes are an integral part of these financial statements.

Richfield Orion International, Inc. <u>Financial Statements</u> Statement of Cash Flows As of and for the Year-Ended December 31, 2015

	2015
Cash Flows From Operating Activities	
Net income (Loss)	100,462,16
Adjustments to reconciled Net Income	0.93
(Loss) to net Cash provided by (used in) operating activies:	
Decrease (Increase) in Operating Assets:	
Accounts Receivable	(39,497.51)
Other	(944.55)
Increase (Decrease) in Operating Liabilities:	\$ ₹ TABER
Accounts Payable	34,564.85
Accrued Liabilities	1,742.81
Total Adjustments	(4,133.47)
Net Cash Provided By (Used in) Operating Activities	96,328.69
Cash Flows From Investing Activities	
Proceeeds from Sale of Fixed Assets	
Net Cash Provided By (Used in) Investing Activities	· ·
Cash Flows From Financing Activities	
Notes Payable Borrowings	
Notes Payable Repayments	
Distributions	(86,700.00)
Proceeds from Sale of Stock	_
Treasury Stock	•
Net Cash Provided By (Used in) Financing Activities	(86,700.00)
Net Increase (Decrease) in Cash and Cash Equivalents	9,628.69
Cash and Cash Equivalents at Beginning of Period	27,798.10
Cash and Cash Equivalents at End of Period	37,426.79

The accompanying notes are an integral part of these financial statements.

Richfield Orlon International, Inc.

<u>Enancial Statements</u>
Statement of Changes in Ownership Equity
As of and for the Year-Ended December 31, 2015

							lotal	
					Retained	Stoc	Stockholder's	
	Common Stock	n Stock	Pald-In C	Capital	Earnings	Щ	quity	
	Shares	Amount	Shares	Amount	Amount	4	mount	
Balance at January 1, 2015	•,	49	1,000.00	\$ 52,589	\$(22,875)	69	29,714	
Net Income	į	Ť	• ₂	*	100,462		100,462	
Capital Transactions		•	ij.		(86,700)		(86,700)	
Prior Period Adjustments	•	•	•		*.	.5	•	,
Balance at December 31, 2015		49	1,000.00	\$ 52,589	\$ (9,113)	₩	43,476	

The accompanying notes are an integral part of these financial statements.

RICHFIELD ORION INTERNATIONAL NOTES TO FINANCIAL STATEMENTS December 31, 2015

NOTE A - SUMMARY OF ACCOUNTING POLICIES

Accounting principles followed by the Company and the methods of applying those principles which materially affect the determination of financial position, results of operation and cash flows are summarized below:

Organization

The Richfield Orion International, Inc. (the Company) was incorporated in the State of Colorado effective September 1, 1998. The Company has adopted a calendar year end.

Description of Business

The Company, located in Colorado Spring, CO is a broker and dealer in securities registered with the Securities and Exchanges Commission ("SEC"). The Company is a member of Financial Industry Regulatory Authority, Inc. (FINRA) and the Municipal Securities Rule Making Board. The Company operates under SEC Rule 15c3-3(k)(ii), which provides an exemption because of limited business.

Basis of Accounting

The financial statements of the Corporation have been prepared on the accrual basis of accounting and accordingly reflect all significant receivables, payables, and other liabilities.

Cash and Cash Equivalents

The Company considers as each all short-term investments with an original maturity of three months or less to be each equivalents.

Accounts Receivable - Recognition of Bad Debt

The Company considers accounts receivable to be fully collectible; accordingly, no allowance for doubtful accounts is required. If amounts become uncollectible, they will be charged to operations when that determination is made.

Revenue Recognition

Commission revenues are recorded by the Company when the services are rendered.

Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent

assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

RICHFIELD ORION INTERNATIONAL NOTES TO FINANCIAL STATEMENTS December 31, 2015

Fair Value of Financial Instruments

Financial instruments that are subject to fair value disclosure requirements are carried in the financial statements at amount that approximate fair value and include cash and cash equivalents. Fair values are based on quoted market prices and assumptions concerning the amount and timing of estimated future cash flows and assumed discount rates reflecting varying degrees of perceived risk.

Comprehensive Income

Statement of Financial Accounting Standards (SFAS) No. 130, Reporting Comprehensive Income, establishes requirements for disclosure of Comprehensive Income that includes certain items previously not included in the statement of income, including unrealized gains and losses on available-for-sales securities and foreign currency translation adjustment among others. During the year ended December 31, 2015, the Company did not have any components of Comprehensive Income to report.

Concentrations

The Company concentration is services, which is the sale of securities, stock options, and mutual funds.

NOTE B - NET CAPITAL REQUIREMENTS

Pursuant to the net capital provisions of Rule 15c3-3 of the Security and Exchange Act of 1934, the Company is required to maintain a minimum net capital, as defined under such provisions. Net Capital and the related net capital ratio may fluctuate on a daily basis.

There were no material inadequacies in the amount reported as Net Capital in the audited Computation of Net Capital and the broker-dealer's corresponding in audited Part IIA of the FOCUS reported under Rule 15c3-1.

NOTE C - POSSESSION OR CONTROL REQUIREMENTS

The Company does not have any possession or control of customer's funds or securities. There were no material inadequacies in the procedures followed in adhering to the exemptive provisions of SEC Rule 15c3-3(k)(2)(ii) by promptly transmitting all customer funds to the clearing broker who carries the customer accounts.

NOTE D-RELATED PARTY TRANSACTIONS

As of December 31, 2015, the Company shared an office with a related party, Richfield Orion Insurance Agency, Inc. (ROIA). ROIA pays for rent and expenses for their portion of the office. The rent paid under this arrangement was \$1,200.

RICHFIELD ORION INTERNATIONAL NOTES TO FINANCIAL STATEMENTS December 31, 2015

NOTE E - OTHER COMMITMENTS AND CONTINGENCIES

Included in the Company's clearing agreement with its clearing broker-dealer is an indemnification clause. This clause related to instances where the Company's customers fail to settle security transactions. In the event this occurs, the Company will indemnify the clearing broker-dealer to the extent of the net loss on the unsettled trade. At December 31, 2015, management of the Company has not been notified by the clearing broker-dealer, nor were they otherwise aware of any potential losses relating to this indemnification.

NOTE F - SUBSEQUENT EVENT

The Company has evaluated events subsequent to the balance sheet date for items requiring recording or disclosure in the financial statements. The evaluation was performed through February 22, 2016, which is the date the financial statement were available to be issued. Based upon this review, the Company has determined that there were no events which took place that would have a material impact on its financial statements.

Richfield Orion International, Inc. . Supplemental Schedules Required by Rule 17a-5 As of and for the year ended December '31, 2013

Computation of Net Capital

Total Stockholder's equity:	ý.	\$	43,476.00
Nonallowable assets:			
Other Assets	4,136,00		
Fixed Assets	0.00		
Accounts receivable - other	1,731.00		(5,866.00)
Other Charges			
Haircuts	0.00		
Undue Concentration	0.00	-	(0.00)
Net allowable capital		\$	37,610.00
Computation of Basic Net Capital Requirement	; ;		
Minimum net capital required as a percentage of ag	gregate indebtedness	<u>S</u>	3,068,60
Minimum dollar net capital requirement of reporting	ng broker or dealer	<u>\$</u>	5,000,00
Net capital requirement		<u>S</u>	5000.00
Excess net capital		<u>\$</u>	32,610,00
Excess net capital Computation of Aggregate Indebtedness		<u>\$</u>	32.610.00
		<u>\$</u> <u>\$</u>	32.610.00 46.006.00
Computation of Aggregate Indebtedness		<u>\$</u>	
Computation of Aggregate Indebtedness Total Aggregate Indebtedness		\$	46,006.00
Computation of Aggregate Indebtedness Total Aggregate Indebtedness Percentage of aggregate indebtedness to net capital	al Under Rule 15c3-1	\$ \$	46,006.00
Computation of Aggregate Indebtedness Total Aggregate Indebtedness Percentage of aggregate indebtedness to net capital Reconciliation of the Computation of Net Capital Computation of Net Capital reported on FOCUS II Adjustments: Change in Equity (Adjustments)	al Under Rule 15c3-1	\$	46,006.00 122,32%
Computation of Aggregate Indebtedness Total Aggregate Indebtedness Percentage of aggregate indebtedness to net capital Reconciliation of the Computation of Net Capital Computation of Net Capital reported on FOCUS II Adjustments: Change in Equity (Adjustments) Change in Non-Allowable Assets	al Under Rule 15c3-1	\$	
Computation of Aggregate Indebtedness Total Aggregate Indebtedness Percentage of aggregate indebtedness to net capital Reconciliation of the Computation of Net Capital Computation of Net Capital Reported on FOCUS II Adjustments: Change in Equity (Adjustments) Change in Non-Allowable Assets Change in Haircuts	al Under Rule 15c3-1	\$	
Computation of Aggregate Indebtedness Total Aggregate Indebtedness Percentage of aggregate indebtedness to net capital Reconciliation of the Computation of Net Capital Computation of Net Capital Reported on FOCUS II Adjustments: Change in Equity (Adjustments) Change in Non-Allowable Assets Change in Haircuts Change in Undue Concentration	al Under Rule 15c3-1	\$	46,006.00 122,32% 37,610.00 (0.00) (0.00) (0.00)
Computation of Aggregate Indebtedness Total Aggregate Indebtedness Percentage of aggregate indebtedness to net capital Reconciliation of the Computation of Net Capital Computation of Net Capital Reported on FOCUS II Adjustments: Change in Equity (Adjustments) Change in Non-Allowable Assets Change in Haircuts	al Under Rule 15c3-1	\$	

Richfield Orion International, Inc. Supplemental Schedules Required by Rule 17a-5 As of and for the year ended December 31, 2015

Exemptive Provisions Rule 15c3-3

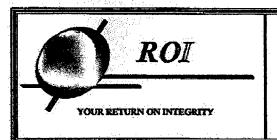
The Company is exempt from Rule 15c3-3 because all customers' transactions are cleared through another broker-dealer on a fully disclosed basis. The name of the clearing firm is Raymond James.

Statement of Changes in Liabilities Subordinated to the Claims of General Creditors

Balance of such claims at January 1, 2015	\$ -
Additions	•
Reductions	-
Balance of such claims at December 31, 2015	\$

Richfield Orion International, Inc. Supplementary Schedules Pursuant to SEA Rule 17a-5 Of the Securities and Exchange Act of 1934

As of and for the Year-Ended December 31, 2015



Richfield Orion International, Incorporated

A FINRA registered Broker Dealer, Member SIPC

1 Oakwood Park Plaza, Suite 200

Castle Rock, CO 80104

February 3, 2016

Edward Richardson, Jr. CPA 15565 Northland Drive Suite 508 West Southfield, MI 48075

RE: Exemption Statement Rule 15c3-3 (k) (2) (ii) for FYE December 31, 2015

Dear Mr. Richardson Jr.,

Please be advised that Richfield Orion International, Inc. has complied with Exemption Rule 15c3-3 (k) (2) (ii), for the period of January 1, 2015 through December 31, 2015. Richfield Orion International, Inc. did not hold customer securities or funds at any time during this period and does business on a limited basis (publicly registered non-trades REITS, and oil & gas partnerships). Richfield Orion International, Inc.'s past business has been of similar nature and has complied to this exemption since its inception, September 1, 1998.

- J. Brett Stuart, the president of Richfield Orion International, Inc. has made available to Edward Richardson all records and information including all communications from regulatory agencies received through the date of this review December 31, 2015.
- J. Brett Stuart has been responsible for compliance with the exemption provision throughout the fiscal year. Also, there were not any know events or other factors that might have affected Richfield Orion International, Inc.'s compliance with this exemption.

If you would like additional information or have any questions, feel free to call me directly at (720) 240-8055.

Very truly yours,

J. Brett Stuart, President

Richfield Orion International, Inc.

REPORT ON BROKER DEALER EXEMPTION

For the year ended December 31, 2015

Edward Richardson, Jr., CPA 15565 Northland Suite 508 West Southfield, MI, 48075

February 22, 2016

Board of Directors Richfield Orion International, Inc. 5353 North Union Street Suite G-1 Colorado Springs, CO 80918

I have reviewed management's statements, included in the accompanying Representation Letter of Exemptions in which (1) Richfield Orion International, Inc. identified the following provisions of 17 C.F.R. § 15c3-3(k) under which Richfield Orion International, Inc. claimed an exemption from 17 C.F.R. § 15c3-3(k)(2)(ii), and (2) Richfield Orion International, Inc. stated that Richfield Orion International, Inc. met the identified exemption provisions throughout the most recent fiscal year without exception. Richfield Orion International, Inc. 's management is responsible for compliance with the exemption provisions and its statements.

My review was conducted in accordance with the standards of the Public Company Accounting Oversight Board (United States) and, accordingly, included inquiries and other required procedures to obtain evidence about Richfield Orion International, Inc. compliance with the exemption provisions. A review is substantially less in scope that an examination, the objective of which is the expression of an opinion on management's statements. Accordingly, I do not express such an opinion.

Based on my review, I'm not aware of any material modifications that should be made to management's statements referred to above for them to be fairly stated, in all material respects, based on the provisions set forth in paragraph (k)(2)(ii) of Rule 15c3-3 under the Securities Exchange Act of 1934.

Edward Richardson, Jr., CPA

Edward Buhardson & CPA

REPORT ON SIPC ASSESSMENT RECONCILIATION

For the year ended December 31, 2015

Edward Richardson, Jr., CPA 15565 Northland Suite 508 West Southfield, MI, 48075

February 22, 2016

Board of Directors Richfield Orion International, Inc. 5353 North Union Street Suite G-1 Colorado Springs, CO 80918

INDEPENDENT ACCOUNTANT'S AGREED-UPON PROCEDURES REPORT ON SCHEDULE OF

ASSESSMENT AND PAYMENTS

In accordance with Rule 17a-5C(4) under the Securities Exchange Act of 1934, we have performed the procedures enumerated below with respect to the accompanying Schedule of Assessment and Payments [Transitional Assessment Reconciliation (Form SIPC-7) to the Securities Investor Protection Corporation (SIPC) for the period January 1, 2015 to December 31, 2015, which were agreed to by Richfield Orion International, Inc. and the Securities and Exchange Commission, Financial Industry Regulatory Authority, Inc. and the SIPC, solely to assist you and other specified parties in evaluating Richfield Orion International, Inc.'s compliance with the applicable instructions of the Transitional Assessment Reconciliation (Form SIPC-7). Richfield Orion International, Inc.'s management is responsible for Richfield Orion International, Inc. compliance with those requirements. This agreed-upon procedures engagement was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants. The sufficiency of these procedures is solely the responsibility of those parties specified in this report. Consequently, we make no representation regarding the sufficiency of the procedures described below either for the purpose for which this report has been requested or for any other purpose. The procedures were performed and our findings are as follows:

- 1. Compared the listed assessment payments represented on Form SIPC-7 with the respective cash disbursements record entries, including check amount of \$489.00.
- Compared andited Total Revenue for the period of January 01, 2015 through the December 31, 2015 (fiscal year-end) with the amounts reported on Form SIPC-7 for the same period noting no reportable reconciliation differences.
- 3. Compared any adjustments reported Form SIPC-7 with supporting schedules and work papers, to the extent such exists, noting no differences.
- 4. Proved the arithmetical accuracy of the calculations reflected on Form SIPC-7 noting no differences.
- 5. If applicable, compared the amount of any overpayment applied to the current assessment with the Form SIPC-7 on which it was originally computed. According to our findings, Richfield Orion International, Inc. had no reportable differences.

I was not engaged to, and did not conduct an examination, the objective of which would be the expression of an opinion on compliance. Accordingly, I do not express such an opinion. Had I performed additional procedures, other matters might have come to my attention that would have been reported to you.

This report is intended solely for the information and use of the specified parties listed above and is not intended to be and should not be used by anyone other than these specified parties.

February 22, 2016

Edward Bulander of CPH